



## THOMAS BROCKINGTON

**Partner**  
Corporate and Tax

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Orange County  
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Tom Brockington has been a partner in the Firm's Corporate and Tax Section since 1986. His clients are primarily technology, life sciences, software, manufacturing and service companies of all sizes. Tom's practice emphasizes on mergers and acquisitions, corporate, partnership and commercial finance, including private and public securities offerings, software licensing, and general corporate representation. In addition, he has extensive experience in structuring, negotiating and documenting, business acquisitions, commercial financing transactions, private and public securities offerings, and in representation of public companies in connection with securities matters.

### Areas of Expertise

- Corporate and Partnership Finance
- Public and Private Securities Offerings
- Mergers and Acquisitions
- General Corporate

### Representative Matters/Cases

- Acquisitions of manufacturing technology and services companies
- Venture capital transactions for medical device and alternative energy companies
- Commercial loan transactions for finance companies
- Private convertible debt offering for publicly held technology company
- Private equity financing for financial services company
- Initial and secondary public offerings of medical laser company, accounting software company, integrated circuit equipment company, and title insurance company
- Tax exempt financing for educational institution

### Memberships & Associations

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### Related Services

- Corporate and Tax
- Construction
- Corporate Finance
- Corporate Governance
- Corporate Restructuring and Creditors' Rights
- Infrastructure, Public-Private Partnerships (P3)
- Mergers and Acquisitions
- Securities

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### Related Industries

- Construction
- Infrastructure/Public-Private Partnerships (P3)
- Life Sciences and Healthcare

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### Bar & Court Admissions

- State Bar of California
  - U.S. District Court for the Central District of California
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- Orange County Bar Association

## Awards & Honors

- AV® Preeminent™ rating with LexisNexis/Martindale Hubbell
- Best Lawyers in America, 2010-2021



## Education

- University of California, Berkeley, Boalt Hall, School of Law (J.D., 1980), Books and Research Editor, Ecology Law Quarterly, 1979-1980
- Stanford University (B.A., 1977)